



पं. रविशंकर शुक्ल विश्वविद्यालय, रायपुर (छ.ग.)

दूरभाष : 0771-2262802 (अकादमिक विभाग), 0771-2262540 (कुलसचिव कार्यालय)

पत्र क्र. /अका0 /2017

रायपुर दिनांक 21.9.2017

प्रति,

4948

सम्माननीय कार्यपरिषद के सदस्य
सम्माननीय सदस्य योजना एवं मूल्यांकन बोर्ड
संकायाध्यक्ष
अध्यक्ष अध्ययन मंडल
अध्यक्ष, अध्ययन शाला
शिक्षक गण, अधिकारी गण एवं कर्मचारी गण,
पं. रविशंकर शुक्ल विश्वविद्यालय, रायपुर छग.

विषय:-विश्वविद्यालय को प्रतिष्ठित शिक्षा संस्थान के रूप में प्रतिस्थापित करने संबंधी प्रस्ताव विषयक।

विश्वविद्यालय अनुदान आयोग ने देश के शिक्षा संस्थानों को प्रतिष्ठित संस्थान **institute of eminence** के रूप में प्रतिष्ठापित करने का निर्णय लिया है इस संदर्भ में विश्वविद्यालय अनुदान आयोग से जारी परिपत्र का अवलोकन करना चाहे।

विश्वविद्यालय के प्रतिष्ठित शिक्षा संस्थान के रूप में स्थापित हो जाने की स्थिति में इस विश्वविद्यालय में विदेश से छात्रों का प्रवेश सरल हो सकेगा। विश्वविद्यालय अनुदान आयोग द्वारा प्रतिष्ठित संस्थान **institute of eminence** घोषित होने पर विश्वविद्यालय को विश्व परिदृश्य में पहचान मिलेगी, और शिक्षण शुल्क को निर्धारित करने का अधिकार प्राप्त हो जावेगा।

विश्वविद्यालय अनुदान आयोग द्वारा दस शिक्षण संस्थानों का प्रतिष्ठित संस्थान के रूप में विश्वविद्यालय अनुदान आयोग द्वारा चयन किया जाना है। विश्वविद्यालय अनुदान आयोग के उक्त परिपत्र में यह सूचित किया गया है कि शिक्षण संस्थानों द्वारा प्रतिष्ठित शिक्षण संस्थानों की सूची में सम्मिलित होने के लिए विश्वविद्यालयों को आवेदन करना होगा।

इस संदर्भ में आपसे अनुरोध है कि विश्वविद्यालय अनुदान आयोग के संलग्न परिपत्र का कृपया अवलोकन कर अपना बहुमूल्य सुझाव एवं अभिमत विश्वविद्यालय के ई-मेल prsu.instituteofeminence@gmail.com पर प्रेषित करने का कष्ट करें।

पृ. क्र. /अका0 /2017
प्रतिलिपि:-

1. कुलपति के सचिव,

2. कुलसचिव के निज सहायक, पं.रविशंकर शुक्ल वि.वि. रायपुर को सूचनार्थ।

कुलसचिव
रायपुर दिनांक 21.9.2017

उप कुलसचिव अकादमिक

University Grants Commission

**Bahadurshah Zafar Marg,
New Delhi – 110 002**

Inviting proposals for Institutions of Eminence

The Government is committed to improvement of quality of higher educational institutions. In this regard, the Government intends to establish twenty 'Institutions of Eminence' to achieve world class status, from amongst the existing Government/private institutions and new institutions from the private sector.

To achieve this goal, University Grants Commission (UGC) has finalized the enabling Regulatory architecture through [UGC \(Institutions of Eminence Deemed to be Universities\) Regulations, 2017](#) for private institutions and [UGC \(Declaration of Government Educational Institutions as Institutions of Eminence\) Guidelines, 2017](#) for public Institutions which provides for greater Academic, Financial, Administrative and other regulatory autonomy to these institutions. The details of eligibility conditions, selection process, autonomy to be provided, monitoring, review, etc have been provided in these Regulations and Guidelines which are available on UGC Website <http://www.ugc.ac.in/>

Accordingly, applications are invited from the eligible and interested applicants to become Institutions of Eminence (IOEs) under the following categories:-

- (i) Existing Government educational institutions.
- (ii) Existing private higher educational institutions to upgrade themselves to Institutions of Eminence.
- (iii) Sponsoring organizations for setting up of new Institutions of Eminence.

The Government Educational Institutions selected as public institutions of eminence under (i) above will be given financial incentives as provided in the guidelines. The selected applicants from category (ii) and (iii) above will be declared as 'Institutions of Eminence Deemed to be Universities' as provided in the regulations.

The completed application in the prescribed format (soft as well as three hard copies) along with processing fee shall be submitted to Secretary, Department of Higher Education, Ministry of Human Resource Development, Government of India, Shastri Bhawan, and New Delhi-110001 within **ninety days** of publication of the advertisement.

Secretary, UGC

Proforma for submission of the proposal for as 'Institution of Eminence'

(For Public Institutions)

PART-1

I. Particulars of the Institution:

S No	Information / details
a.	Details of the University / Institution: <ul style="list-style-type: none">i. Name:ii. Address:iii. Location (Metropolitan / Non-metropolitan / Non-urban area):iv. Type of Institution:<ul style="list-style-type: none">a) Central Universityb) Government owned and controlled Deemed to be Universityc) Institution of National Importanced) State Universitye) Stand alone Institution (Details of orders of the Government under which it has been established)v. Contact details of the Vice Chancellor, Registrar and Nodal person identified for this purpose.(Name, designation, landline, mobile, fax, email):

II. Fulfilment of Eligibility Criteria:

a.	I. NIRF Ranking (University) <ul style="list-style-type: none">i. NIRF Ranking for the year 2016:ii. NIRF Ranking for the year 2017:
	II. NIRF Ranking in other category: (Specify category) <ul style="list-style-type: none">i. NIRF Ranking for the year 2016: _____ (Category: _____)ii. NIRF Ranking for the year 2017: _____ (category: _____)
	II. Latest International Ranking obtained by the institution (If any): <p>Times Higher Education World Ranking: QS world university Ranking: Shanghai's Jiao Tong University Ranking: (Details (if any) of Previous World Ranking may also be provided.</p>
b.	Based on above information and Guideline 6.3.3. and 6.3.4, does the institution fulfil the eligibility criteria for Institution of Eminence: <u>Yes / No</u>

III. Vision for Institution of Eminence:

S. No.	Information to be provided
a.	Fifteen year Vision Plan including Mission Statement, Values, Institutional Goals & Vision to meet the objectives and Characteristics of an Institution of Eminence with quantified milestones and timelines to achieve world class repute as expected in the Regulations.
b.	How far is the Institution/ University from becoming an Institution of Eminence, including the present status of the institution, the status which seek to achieve to become as world class and gap in each parameter as given in Regulation 4.2 & 4.3.
c.	Plan for becoming an Institution of Eminence. The plan should give the status of the Institution at the present stage on all relevant parameters, the status to which they seek to reach after ten years and fifteen years on each of the parameters, and how they target to reach the same on each of the parameters.
d.	SWOT analysis of the institution focusing on its present status in the quality hierarchy and the proposed measures to address the shortcomings?

IV. Proposed fifteen year strategic Plan (for each five years):

a.	An academic plan showing the courses proposed and a research plan focussing on current thrust / niche area(s) of expertise and proposed plan in pursuit of excellence in those areas.
b.	A faculty recruitment policy and plan to meet the academic plan requirements and to achieve 1:10 faculty-students ratio.
c.	Proposal, if any, to recruit faculty from industry, Government, Non-profit Organizations, etc. Including foreign faculty.
d.	Student admissions policy mentioning plan to select Indian and foreign students.
e.	Plan to provide scholarship to meritorious Indian and foreign students.
f.	A comprehensive plan to develop research laboratories with demonstrable progress towards it.
g.	Plan for Teaching and Research Collaborations with Global Universities figuring in the most reputed global rankings.
h.	Networking plan outlining the teaching and research collaborations and partnerships
i.	Infrastructure development plan. (Existing and Proposed infrastructure with financial repercussion and time line for development)

j.	Administrative plan for getting accreditation from National and International Agencies as well as marketing and promotion.
k.	Governance plan elaborating the proposed Institutional structure and how it overlaps with ownership, decision-making process and social engagement.
l.	Clarify as to how Governance plan will be committed to the highest global standards of transparency, accountability and efficiency.
m.	Plan for involving the alumni in the management of the Institution and leveraging alumni financial resources.
n.	Intended target on possible world ranking after five, eight, ten, thirteen and fifteen years.
o.	A research plan indicting the research laboratories and other facilities proposed to be established. In case of humanities, social sciences and other interdisciplinary faculties, the research plan should indicate the broad areas and nature of field work and research sought to be done
p.	Sustainability plan for the period when the additional public funding ceases.
q.	Plan for affiliating any other HEI, if act permits (please refer guidelines 6.4.3(e)(i)

V. Land details

a.	Land owned/on lease and details thereof: (in acres)
b.	Land Available at Main Campus:
c.	Land available at Off campuses/constituent institutions:
d.	Area of land proposed to be acquired or to be taken on lease if any:
e.	Total:

VI. Proposed five years implementation plan (for each five year)

a.	Mention the detailed and tangible action plan, milestones, and timelines by which it seeks to achieve each of the parameters laid down in Guideline 4.1 & 4.2, mentioning milestones to be achieved in first five years and over 15 years.
b.	Timeline to achieve the expectations for each of the parameters as proposed in the fifteen year strategic plan.

VII. Financial plan

A. Existing Resources and Expenditure:

a.	<p>i. Existing Revenue Sources (average of last five years) (In Crore):</p> <p>*Funds Received from Central Govt: *Funds Received from State Govt: Fees collected from students (Indian): Fees collected from foreign students (if any): Interest from corpus fund, if any: Earnings from consultancy: Resource Mobilization by the university International Funding Project based funding National and International Industry funding Donations Support from alumni: Other earnings from training, workshops, etc: Other (please specify): Total: _____ crore.</p> <p>*(Please specify names of Ministries of Central Govt or the State Govt or UGC or any other Agencies of the Govt from where the funds are being received) (Details to be provided at Annexure 1 (page.....to)</p>
b.	<p>i. Existing Expenditure (separately for academic, administrative and research activities)(average of last five years) (In Crore):-</p> <p>Revenue: Capital: Total: (Year wise details to be provided at Annexure 2 (page.....to)</p>
c.	<p>Corpus Fund of last five years (year-wise) if any (In Crore):</p>

B. Expected Expenditure:

a.	Annual planned Expenditure (In Crore) in first five years separately for administrative, academics and research: Revenue: _____(Cr) Capital: _____ (Cr) Total: _____ (Cr) (Year wise and item wise details to be provided at Annexure 3 (page.....to))
b.	Average yearly planned Expenditure (beyond five years) separately for administrative, academics and research (In Crore):- Revenue: _____(Cr) Capital: _____ (Cr) Total: _____ (Cr) (Details of calculation to be provided at Annexure 4 (page.....to))
c.	Proposed Corpus Fund if any (In Crore): 2021-22 - 2026-27 -

C. Expected Resources:

a.	Expected Sources (for first five years) (In Crore): Expected Funds to be received from Central Govt: Expected Funds to be received from State Govt: Fees to be collected from domestic students: Fees to be collected from foreign students : Interest from corpus fund, if any: Earnings from consultancy: Support from Alumni: Project based funding: International funding: Donations: Other earnings from training, workshops, etc: Other please specify: Total: _____ crore. (Details of year wise calculation to be provided at Annexure 5 (page.....to))
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b.	<p>Expected yearly Financial Resources (beyond five years when funding ceases to exist under the scheme) (In Crore):-</p> <p>Expected Funds to be received from Central Govt:</p> <p>Expected Funds to be received from State Govt:</p> <p>Fees to be collected from domestic students:</p> <p>Fees to be collected from foreign students :</p> <p>Interest from corpus fund, if any:</p> <p>Earnings from consultancy:</p> <p>Support from Alumni:</p> <p>Other earnings from training, workshops, etc:</p> <p>Other please specify:</p> <p>Total: _____ crore.</p> <p>(Details of calculations to be provided at Annexure 6 (page.....to)</p>
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PART-2

I. Processing Fee (Rs. One Crore):

S.No.	Particular
a.	<p>The processing fee to be sent through RTGS/NEFT to the following accounts:-</p> <p>Name of the Bank - Canara Bank (UGC-General) Branch - UGC, New Delhi Account No. - 8627101002122 IFSC Code - CNRB0008627 MICR No. - 110015170</p> <p>The Institute to sent the following details about the processing fee sent:-</p> <p>Name of the Institution - Address - Name of the Bank - Branch - Branch Code - Account No. - IFSC Code - Amount - UTR No. -</p> <p>In case of DD - In favour of The Secretary, UGC as per the Guidelines with the relevant details.</p>

PART-3

I. Basic information of the Institution / University:

S No	Information to be provided																	
a.	Name of the Institution: Address of the Institution / University:																	
b.	Location of the applicant Institution / University (Please mark $\sqrt{\quad}$): i. Metropolitan area ii. Non-metropolitan area iii. Non-urban area																	
c.	Name, Contact No., Email, Address, etc. of Director / Vice-Chancellor and Registrar of the applicant Institution / University:																	
	<table border="1" style="width: 100%;"><tr><td>Designation: _____</td><td>Designation: _____</td></tr><tr><td>Name: _____</td><td>Name: _____</td></tr><tr><td>Address: _____</td><td>Address: _____</td></tr><tr><td>_____</td><td>_____</td></tr><tr><td>_____</td><td>_____</td></tr><tr><td>Email: _____</td><td>Email: _____</td></tr><tr><td>Mobile: _____</td><td>Mobile: _____</td></tr><tr><td>Phone: _____</td><td>Phone: _____</td></tr><tr><td>Fax: _____</td><td>Fax: _____</td></tr></table>	Designation: _____	Designation: _____	Name: _____	Name: _____	Address: _____	Address: _____	_____	_____	_____	_____	Email: _____	Email: _____	Mobile: _____	Mobile: _____	Phone: _____	Phone: _____	Fax: _____
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Email: _____	Email: _____																	
Mobile: _____	Mobile: _____																	
Phone: _____	Phone: _____																	
Fax: _____	Fax: _____																	
d.	Act / Notification / MoA under which existing Institution / University established: (Please enclose copy of the Act / Notification / MoA as Annexure: 7 (Pages ___ to ___))																	
e.	Year of Establishment: _____																	
f.	No. of Off campuses: No. of Off shore campuses: No. of Constituent Unit: No. of Constituent Institutions: No. of Affiliated Colleges: (Names and Address of above institutions & Approval of the Government to be provided																	

b.	No. of courses offered at UG Level: No of Courses offered at PG Level: (Names of courses to be provided at Annexure : 14 (Pages__ to __))																								
c.	No. of courses offered in Distance education: UG Level: PG level: (Names of courses to be provided at Annexure: 15 (Pages__ to __) along with details of study centres, if any)																								
d.	Details of Students enrolled during the last three years: <table border="1" data-bbox="284 674 1382 1043"> <thead> <tr> <th data-bbox="284 674 557 775">Number of admitted students</th> <th data-bbox="557 674 831 775">CAYm2</th> <th data-bbox="831 674 1106 775">CAYm1</th> <th data-bbox="1106 674 1382 775">CAY</th> </tr> </thead> <tbody> <tr> <td data-bbox="284 775 557 831">UG courses</td> <td data-bbox="557 775 831 831"></td> <td data-bbox="831 775 1106 831"></td> <td data-bbox="1106 775 1382 831"></td> </tr> <tr> <td data-bbox="284 831 557 887">PG courses</td> <td data-bbox="557 831 831 887"></td> <td data-bbox="831 831 1106 887"></td> <td data-bbox="1106 831 1382 887"></td> </tr> <tr> <td data-bbox="284 887 557 943">Ph.D</td> <td data-bbox="557 887 831 943"></td> <td data-bbox="831 887 1106 943"></td> <td data-bbox="1106 887 1382 943"></td> </tr> <tr> <td data-bbox="284 943 557 999">Other courses</td> <td data-bbox="557 943 831 999"></td> <td data-bbox="831 943 1106 999"></td> <td data-bbox="1106 943 1382 999"></td> </tr> <tr> <td data-bbox="284 999 557 1043">Total</td> <td data-bbox="557 999 831 1043"></td> <td data-bbox="831 999 1106 1043"></td> <td data-bbox="1106 999 1382 1043"></td> </tr> </tbody> </table> <p data-bbox="284 1099 1399 1178">CAY – Current Academic Year, CAYm1 is current academic year minus 1 year, CAYm2 is current academic year minus 2 year</p> <p data-bbox="284 1200 1399 1279">(The course wise details separately for main campus and off-campus / affiliating colleges to be provided as per Annexure: 16 (Pages__ to __))</p>	Number of admitted students	CAYm2	CAYm1	CAY	UG courses				PG courses				Ph.D				Other courses				Total			
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UG courses																									
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e.	Details of foreign Students enrolled during the last three years: <table border="1" data-bbox="284 1406 1382 1776"> <thead> <tr> <th data-bbox="284 1406 557 1507">Number of admitted students</th> <th data-bbox="557 1406 831 1507">CAYm2</th> <th data-bbox="831 1406 1106 1507">CAYm1</th> <th data-bbox="1106 1406 1382 1507">CAY</th> </tr> </thead> <tbody> <tr> <td data-bbox="284 1507 557 1563">UG courses</td> <td data-bbox="557 1507 831 1563"></td> <td data-bbox="831 1507 1106 1563"></td> <td data-bbox="1106 1507 1382 1563"></td> </tr> <tr> <td data-bbox="284 1563 557 1619">PG courses</td> <td data-bbox="557 1563 831 1619"></td> <td data-bbox="831 1563 1106 1619"></td> <td data-bbox="1106 1563 1382 1619"></td> </tr> <tr> <td data-bbox="284 1619 557 1675">Ph.D.</td> <td data-bbox="557 1619 831 1675"></td> <td data-bbox="831 1619 1106 1675"></td> <td data-bbox="1106 1619 1382 1675"></td> </tr> <tr> <td data-bbox="284 1675 557 1731">Other courses</td> <td data-bbox="557 1675 831 1731"></td> <td data-bbox="831 1675 1106 1731"></td> <td data-bbox="1106 1675 1382 1731"></td> </tr> <tr> <td data-bbox="284 1731 557 1776">Total</td> <td data-bbox="557 1731 831 1776"></td> <td data-bbox="831 1731 1106 1776"></td> <td data-bbox="1106 1731 1382 1776"></td> </tr> </tbody> </table> <p data-bbox="284 1832 1399 1910">CAY – Current Academic Year, CAYm1 is current academic year minus 1 year, CAYm2 is current academic year minus 2 year</p>	Number of admitted students	CAYm2	CAYm1	CAY	UG courses				PG courses				Ph.D.				Other courses				Total			
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UG courses																									
PG courses																									
Ph.D.																									
Other courses																									
Total																									

	(The course wise details separately for main campus and off-campus / affiliating colleges to be provided as per Annexure: 17 (Pages__ to __))
f.	<p>Number of the existing faculty as against sanctioned positions (regular):</p> <p>Main Campus:</p> <p>Off-Campus:</p> <p>Constituent Institutions:</p> <p>Constituent Units:</p> <p>(Department / Centre / School-wise details of the existing faculties (regular, ad-hoc, part-time, guest, visiting, contractual faculty, etc) to be provided at Annexure: 18 (Pages__ to __))</p> <p>Note: i. Please indicate if there is a ban on faculty recruitment and the last time faculty recruitment was undertaken?</p> <p>ii. One faculty to be placed in one category only.</p>
g.	<p>Number of the existing foreign faculty:</p> <p>Main Campus:</p> <p>Off-Campus:-</p> <p>Constituent Institutions:-</p> <p>Constituent Units:-</p> <p>(Department / Centre / School-wise details of the foreign faculties (regular, ad-hoc, part-time, guest, visiting, contractual faculty, etc) to be provided at Annexure: 19 (Pages__ to __))</p> <p>(Note: One faculty to be placed in one category only)</p>
h.	Department-wise details of the faculties like names, designations, qualifications, Pay Scale and experience to be provided at Annexure: 20 (Pages__ to __)
i.	<p>Existing faculty-students ratio: _____.</p> <p>(The faculty means regular faculty, adjunct faculty, and long term faculty (for at least three years). Part time faculty shall not be counted for the purpose)</p>
j.	<p>Existing students admission policy for domestic students:</p> <p>(Details at Annexure: 21 (Pages__ to __))</p>
k.	<p>Existing students admission policy for foreign students, if any:</p> <p>(Details at Annexure: 23 (Pages__ to __))</p>
l.	Existing faculty recruitment policy:

	(Details at Annexure: 24 (Pages__ to __))
m.	Existing reservations policy for students & faculty: (Details at Annexure: 25 (Pages__ to __))
n.	Existing policy on providing scholarship to meritorious / needy students: (Details at Annexure: 26 (Pages__ to __))

III. Books & Journals and Equipment:

a.	Institution-wise and discipline/faculty-wise No. of Books and Journals (List of Books and Journals to be provided at Annexure 27 (Pages __ to __))
b.	Institution-wise and department-wise list of equipment (more than Rs. 25 lakh) (Details at Annexure 28 (Pages __ to __))
c.	Details of the modern information resources (Broadband connectivity, internet connections, wi-fi enabled campus and other learning materials) (Details at Annexure 29 (Pages __ to __))

IV. Academic Activities:

a.	Academic achievements by the faculty for the last five years: <ul style="list-style-type: none"> i. No. of Books and Edited Books:- ii. No. of Book Chapters:- iii. No. of Articles in Referred Journals (SCOPUS):- iv. No. of Peer-reviewed Monographs:- v. No. of Referred Papers and Presentations:- vi. Other publications (occasional papers, monographs, working papers, policy briefs, etc:- (Details to be provided at Annexure 30 (Pages __ to __))
b.	No. of Honours and Awards in the last five years (Details to be provided at Annexure 31 (Pages __ to __))
c.	Research Grants and Fellowships received during the last five years (Details to be provided at Annexure 32 (Pages __ to __))
d.	i. Professional Experience / Activities ii. Contribution to Professional and / or Public Service iii. Dissertation supervised by Regular faculty (Details of the last five years to be provided at Annexure 33 (Pages __ to __))
e.	Research Projects / sponsored research undertaken during the last 5 years including

	those completed during the period (Name of the Faculty / Department, No. of Research Projects completed / in progress, sponsoring agency, funds received, etc. to be provided at Annexure 34 (Pages ____ to ____))
f.	Extramural research projects sponsored by other agencies (public and private) and implemented by the Institute (s) during last five years: (Details including names of the Principal Investigator, sponsoring agencies and funds received at Annexure: 35 (Pages __ to __))
g.	No. of Patents in last five years: Copyrights in last five years: Transfer of Technology in last five years: (Details at Annexure 36 (Pages __ to __))
h.	National / International Conferences / Seminar / Symposia /Workshop organized in the last five years: Numbers (Year Wise): (Details at Annexure 37 (Pages __ to __))
i.	Other research oriented activities in the last five years
j.	Details of the inter-disciplinary orientation
k.	No. of Research linkages of the Institution (s) with the University and other national and international agencies: (Details at Annexure 38 (Pages __ to __))
l.	Details of the full time Doctoral/Post-Doctoral research programmes: (Details at Annexure 39 (Pages __ to __))

V. Programmes and Activities:

a.	Whether academic programmes offer sufficient scope for interdisciplinary learning and research? If yes, the details thereof. (Details at Annexure 40 (Pages __ to __))
b.	Examination process: (Details at Annexure 41 (Pages __ to __))
c.	Evaluation system: (Details at Annexure 42 (Pages __ to __))

VI. Miscellaneous:

a	Details of periodic reviews and assessments of the Institution/University by recognized external accrediting/assessment agencies, if any (Documentary evidence at Annexure 43 (Pages __ to __))
b.	Details of extension services/activities, societal engagements, continuing education programmes, sports and games, cultural activities etc. undertaken by the Institution/ University (Details at Annexure 44 (Pages __ to __))

Certificate

This is to certify that all the information provided in this proposal is true to the best of my knowledge and belief.

Signed and Sealed by the Head of the Institution

Note:-

- 1. Ministries / Departments of Central / State Governments to give an undertaking that all necessary conditions as prescribed in the UGC (Declaration of Govt. Educational Institutions as Institutions of Eminence) Guidelines, 2017 will be mandatorily adhered to in letter and spirit in respect of Universities / Institutions selected.**
- 2. Serial Number of Annexures should not be changed. If any additional Annexure is to be submitted, it may be mentioned at Annexure -1(A), 1(B)....., 2(A), 2(B).....etc.**
- 3. If Institutions so desires to submit additional information, they may do so at appropriate places along with the Annexures, if any.**

Proforma for submission of the proposal for 'Institutions of Eminence'

(For private Institutions)

PART-1

I. Particulars of Institution / proposed Institution:

S No	Information to be provided
a.	Details of applicant University / Institution: <ul style="list-style-type: none">i. Name:ii. Address:iii. Location (Metropolitan / Non-metropolitan / Non-urban area):iv. Type of Institution<ul style="list-style-type: none">a) State Private Universityb) Deemed to be Universityc) Stand alone Institutiond) Institution yet to be established

II. Fulfilment of Eligibility Criteria:

a.	In case of existing private Deemed to be University:-
	(i) Whether the Deemed to be University is satisfying all provisions of UGC (Institutions Deemed to be Universities) Regulations, 2016? (Please ✓ mark) <ul style="list-style-type: none">a. Not applicable.b. Yes.c. No <p>If "No" please give details at Annexure 1 (Pages __ to __)</p>
	(ii) Details of notices, if any, issued by UGC or any other Regulatory Bodies?
	(iii) Whether MoA & Rules are aligned with UGC Regulations 2016? If not give details of the provisions which need to be amended.
	(iv) Whether the Institution has filed any Writ Petition in any Court against the Govt /UGC/any other Regulatory Councils? If yes, then give details of the court case.

	<p>(v) Whether the Institution has ever been punished under any Act of Parliament or Act of State Legislatures? If yes, then give details thereof.</p>
	<p>In case of existing State Private University:-</p>
	<p>(i) Whether the Private University is satisfying all provisions of UGC (Establishment of and Maintenance of Standards in Private Universities) Regulations, 2003?</p>
	<p>(ii) Details of notices, if any, issued by State Govt, UGC or any other Regulatory Bodies?</p>
	<p>(iii) Whether the Institution has filed any Writ Petition in any Court against the Govt /UGC/any other Regulatory Councils? If yes, then give details of the court case.</p>
	<p>(iv) Whether the Institution has ever been punished under any Act of Parliament or Act of State Legislatures? If yes, then give details thereof.</p>
	<p>For Greenfield Institutions:-</p>
	<p>(i) Whether sponsors have been involved in setting up other educational institutions? If yes, give details of the Institutions.</p>
	<p>(ii) Whether the Institution is satisfying all the provisions of Regulatory Authority under which they are established?</p>
	<p>(iii) Whether any notice has been issued to such an Institution by any Regulatory Authority? (with details)</p>
	<p>(iv) Whether the Institution has filed any Writ Petition in any Court against the Govt? (with details)</p>
	<p>(v) Whether the Institution has ever been punished under any Act of Parliament or Act of State Legislatures? (with details)</p>
b.	<p>Details of the Sponsoring Society / Trust / Company (For existing institutions): Name of the Sponsoring Society / Trust / Company:- i. Whether Sponsoring Society / Trust is a not-for-profit or Section 8 Company:- ii. Number of Members / Directors:-</p>

	<p>iii. Details of other activities being carried out by the Sponsoring Society / Trust / Company (Details to credentials of members / directors relating to higher education/ Social / entrepreneurship / academia to be provided)</p>
c.	Net worth of Sponsoring Organization: (Details of names of members / proposed members alongwith their net worth to be provided)
d.	Details of credentials of members relating to higher education/ Social / entrepreneurship / academia to be provided
e.	<p>Details of core team for setting of Institutions of Eminence: Name of the Chancellor:- Name of Vice-Chancellor:- Name of other core team members:- (The details of qualification, experience, credentials, etc. of above persons may also be provided)</p>
f.	<p>Proposed Corpus fund (for brown field Institutions)</p> <p>i. Initial corpus fund- ii. Final corpus fund to be achieved in ten years- iii. Guaranteed pipelines- iv. Additional Resources-</p> <p>Proposed Corpus fund (for green field Institutions)</p> <p>i. Initial corpus fund- ii. Final corpus fund to be achieved in ten years- iii. Guaranteed pipelines- iv. Additional Resources-</p> <p>(Details of source of funds to be provided at Annexure 2 (Pages.....to.....))</p>
g.	<p>Governance structure:</p> <p>i. Composition of Apex Governing Body of Institutions of Eminence:- ii. Governance Structure of proposed Institution of Eminence:-</p> <p>(Details of credentials of members alongwith their relationship with Sponsoring Organization to be provided)</p>
h.	Evidence of experience in translating plans in to real achievements in any field in any sector preferably in education sector:

i.	<p>In case, the Institution is established under a law passed by a Legislative Assembly of a State, an undertaking from the State Government to the effect that if the University is selected as an Institution of Eminence and:-</p> <p style="padding-left: 40px;">(i) If there is an exit clause in the existing legislation governing the institution, then the State Government would withdraw its status as an institution under the said legislation and allow it to become an Institution of Eminence Deemed to be University</p> <p style="text-align: center;">or</p> <p style="padding-left: 40px;">(ii) If no such exit clause in the existing legislation governing the institution, then State Government would introduce an appropriate legislation in the State Legislature withdrawing its status as an institution.</p> <p>(Undertaking to be placed at Annexure 3 (Pages __ to __))</p>
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III. Vision for Institutions of Eminence:

S. No.	Information to be provided
a.	Fifteen year Vision Plan including Mission Statement, Values, Institutional Goals & Vision to meet the objectives and Characteristics of an Institution of Eminence with quantified milestones and timelines to achieve world class repute as expected in the Regulations.
b.	How far is the Institution/ University from becoming an Institution of Eminence, including the present status of the institution, the status which seek to achieve to become as world class and gap in each parameter as given in Regulation 4.2 & 4.3.
c.	Plan to become an Institution of Eminence. The plan should give the status of the Institution at the present stage on all relevant parameters, the status to which they seek to reach after ten years and fifteen years on each of the parameters, and how they target to reach the same on each of the parameters.
d.	SWOT analysis of the institution/university focusing on its present status in the quality hierarchy and the proposed measures to address the shortcomings.

IV. Proposed fifteen year strategic Plan (for each five year):

a.	An academic plan showing the courses proposed and a research plan focussing on current thrust / niche area(s) of expertise and proposed plan in pursuit of excellence in those areas.
b.	A faculty recruitment policy and plan to meet the academic plan requirements and to achieve 1:10 faculty-students ratio.
c.	Proposal, if any, to recruit faculty from industry, Government, Non-profit Organizations, etc. Including foreign faculty.
d.	Student admissions policy mentioning plan to select Indian and foreign students.
e.	Plan to provide scholarship to meritorious Indian and foreign students.
f.	A comprehensive plan to develop research laboratories with demonstrable progress towards it.
g.	Plan for Teaching and Research Collaborations with Global Universities figuring in the most reputed global rankings.
h.	Networking plan outlining the teaching and research collaborations and partnerships
i.	Infrastructure development plan. (Existing and Proposed infrastructure with financial repercussion and time line for development)
j.	Administrative plan for getting accreditation from National and International Agencies as well as marketing and promotion.
k.	Governance plan elaborating the proposed Institutional structure and how it overlaps with ownership, decision-making process and social engagement.
l.	Clarify as to how Governance plan will be committed to the highest global standards of transparency, accountability and efficiency.
m.	Plan for involving the alumni in the management of the Institution and leveraging alumni financial resources.
n.	Intended target on possible world ranking after five, eight, ten, thirteen and fifteen years.
o.	<p>i. land details for existing institutions:</p> <p>Land Available at Main Campuses:</p> <p>Land available at Off campuses/constituent institutions:</p> <p>Area of land proposed to be acquired or to be taken on lease if any:</p> <p>Total:</p> <p>(The title of the land, location, whether freehold or leasehold along with lease period may also be mentioned. Documents may be provided at Annexure 4 (page.....to)</p>

	<p>ii. Land details for yet to be established institutions:</p> <p>The following details may be provided:</p> <p>a) Whether acquired or yet to be acquired</p> <p>b) Location (please provide longitude and latitude also)</p> <p>c) Area</p> <p>d) Freehold /leasehold</p> <p>e) Title of the land</p> <p>f) Leasehold period</p>
o.	A research plan indicting the research laboratories and other facilities proposed to be established. In case of humanities, social sciences and other interdisciplinary faculties, the research plan should indicate the broad areas and nature of field work and research sought to be done
<p>(Note: Please refer to relevant sections of the UGC (Institutions of Eminence Deemed to be Universities) Regulations, 2017 while detailing the strategic Plan)</p>	

V. Proposed five years implementation plan (for each year)

a.	To mention the detailed and tangible action plan, milestones, and timelines by which it seeks to achieve each of the parameters laid down in Regulation 4.2 & 4.3, mentioning milestones to be achieved in first five years and over 15 years.
b.	Timeline to achieve the expectations for each of the parameters (as given in Regulation 4.2 & 4.3) as proposed in the fifteen year strategic plan.

VI. Financial plan for the institutions

Existing Resources and Expenditure (for existing institutions):

a.	<p>Existing Revenue Sources (average of last five years) (In Crore):</p> <p>*Funds Received from Central Govt:</p> <p>*Funds Received from State Govt:</p> <p>Fees collected from students (Indian):</p> <p>Fees collected from foreign students (if any):</p> <p>Interest from corpus fund, if any:</p> <p>Earnings from consultancy:</p> <p>Support from alumni:</p> <p>Other earnings from training, workshops, etc:</p> <p>Other please specify:</p> <p>Total: _____ crore.</p> <p>*(Please specify names of Ministries of Central Govt or the State Govt or UGC or any other Agencies of the Govt from where the funds are being received)</p> <p>(Details to be provided at Annexure 5 (page.....to)</p>
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b.	<p>Existing Expenditure (average of last five years) (In Crore):-</p> <p>Revenue:</p> <p>Capital:</p> <p>Total:</p> <p>(Year wise details to be provided at Annexure 6 (page.....to)</p>
c.	<p>Existing Corpus Fund of last five years (year-wise) if any (In Crore):</p>

Expected Expenditure (for existing as well as Greenfield institutions):

a.	<p>Total Expected Expenditure (In Crore) in first five years:</p> <p>Revenue: _____(Cr)</p> <p>Capital: _____ (Cr)</p> <p>Total: _____ (Cr)</p> <p>(Year wise and item wise details to be provided at Annexure 7 (page.....to)</p>
b.	<p>Average yearly Expenditure (beyond five years) (In Crore):-</p> <p>Revenue: _____(Cr)</p> <p>Capital: _____ (Cr)</p> <p>Total: _____ (Cr)</p> <p>(Details of calculation to be provided at Annexure 8 (page.....to)</p>
c.	<p>Expected Corpus Fund if any (In Crore):</p> <p>2021-22- _____(Cr)</p> <p>2026-27- _____(Cr)</p>

Expected Resources (for existing as well as Greenfield institutions):

a.	<p>Expected Sources (for first five years) (In Crore):</p> <p>Expected Funds to be received from Central Govt:</p> <p>Expected Funds to be received from State Govt:</p> <p>Fees to be collected from domestic students:</p> <p>Fees to be collected from foreign students :</p> <p>Interest from corpus fund, if any:</p>
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	<p>Earnings from consultancy: Support from Alumni: Other earnings from training, workshops, etc: Other please specify: Total: _____ crore.</p> <p>(Details of year wise calculation to be provided at Annexure 9 (page.....to)</p>
b.	<p>Expected yearly Financial Resources (beyond five years) (In Crore):-</p> <p>Expected Funds to be received from Central Govt: Expected Funds to be received from State Govt: Fees to be collected from domestic students: Fees to be collected from foreign students : Interest from corpus fund, if any: Earnings from consultancy: Support from Alumni: Other earnings from training, workshops, etc: Other please specify: Total: _____ crore.</p> <p>(Details of calculations to be provided at Annexure 10 (page.....to)</p>

PART-2

I. Processing Fee (Rs. One Crore):

S.No.	Particular
a.	<p>The processing fee to be sent through RTGS/NEFT to the following accounts:-</p> <p>Name of the Bank - Canara Bank (UGC-General) Branch - UGC, New Delhi Account No. - 8627101002122 IFSC Code - CNRB0008627 MICR No. - 110015170</p> <p>The Institute to sent the following details about the processing fee sent:-</p> <p>Name of the Institution - Address - Name of the Bank - Branch - Branch Code - Account No. - IFSC Code - Amount - UTR No. -</p> <p>In case of DD - In favour of The Secretary, UGC as per the Regulations with the relevant details.</p>

PART-3

(Applicable to existing Institutions only)

I. Basic information of the Institution / University:

S No	Information to be provided																	
a.	Name of the Institution: Address of the Institution / University:																	
b.	Location of the applicant Institution / University (Please mark \checkmark): i. Metropolitan area ii. Non-metropolitan area iii. Non-urban area																	
c.	Name, Contact No., Email, Address, etc. of Director / Vice-Chancellor and Registrar of the applicant Institution / University:																	
	<table border="1" style="width: 100%;"><tr><td style="width: 50%;">Designation: _____</td><td style="width: 50%;">Designation: _____</td></tr><tr><td>Name: _____</td><td>Name: _____</td></tr><tr><td>Address: _____</td><td>Address: _____</td></tr><tr><td>_____</td><td>_____</td></tr><tr><td>_____</td><td>_____</td></tr><tr><td>Email: _____</td><td>Email: _____</td></tr><tr><td>Mobile: _____</td><td>Mobile: _____</td></tr><tr><td>Phone: _____</td><td>Phone: _____</td></tr><tr><td>Fax: _____</td><td>Fax: _____</td></tr></table>	Designation: _____	Designation: _____	Name: _____	Name: _____	Address: _____	Address: _____	_____	_____	_____	_____	Email: _____	Email: _____	Mobile: _____	Mobile: _____	Phone: _____	Phone: _____	Fax: _____
Designation: _____	Designation: _____																	
Name: _____	Name: _____																	
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Email: _____	Email: _____																	
Mobile: _____	Mobile: _____																	
Phone: _____	Phone: _____																	
Fax: _____	Fax: _____																	
d.	Act / Notification / MoA under which existing Institution / University established: (Please enclose copy of the Act / Notification / MoA as Annexure: 11 (Pages__ to __))																	
e.	Year of Establishment: _____.																	
f.	No. of Off campuses: No. of Off shore campuses: No. of Constituent Unit: No. of Constituent Institutions: No. of Affiliated Colleges: (Names and Address of above institutions & Approval of the Government to be provided in Annexure: 12 (Pages__ to __))																	

c.	<p>No. of courses offered in Distance education:</p> <p>UG Level:</p> <p>PG level:</p> <p>(Names of courses to be provided at Annexure: 19 (Pages__ to __) along with details of study centres, if any)</p>																								
d.	<p>Details of Students enrolled during the last three years:</p> <table border="1" data-bbox="284 568 1382 936"> <thead> <tr> <th data-bbox="284 568 557 674">Number of admitted students</th> <th data-bbox="560 568 831 674">CAYm2</th> <th data-bbox="834 568 1106 674">CAYm1</th> <th data-bbox="1109 568 1382 674">CAY</th> </tr> </thead> <tbody> <tr> <td data-bbox="284 678 557 725">UG courses</td> <td data-bbox="560 678 831 725"></td> <td data-bbox="834 678 1106 725"></td> <td data-bbox="1109 678 1382 725"></td> </tr> <tr> <td data-bbox="284 730 557 777">PG courses</td> <td data-bbox="560 730 831 777"></td> <td data-bbox="834 730 1106 777"></td> <td data-bbox="1109 730 1382 777"></td> </tr> <tr> <td data-bbox="284 781 557 828">Ph.d</td> <td data-bbox="560 781 831 828"></td> <td data-bbox="834 781 1106 828"></td> <td data-bbox="1109 781 1382 828"></td> </tr> <tr> <td data-bbox="284 833 557 880">Other courses</td> <td data-bbox="560 833 831 880"></td> <td data-bbox="834 833 1106 880"></td> <td data-bbox="1109 833 1382 880"></td> </tr> <tr> <td data-bbox="284 884 557 931">Total</td> <td data-bbox="560 884 831 931"></td> <td data-bbox="834 884 1106 931"></td> <td data-bbox="1109 884 1382 931"></td> </tr> </tbody> </table> <p>CAY – Current Academic Year, CAYm1 is current academic year minus 1 year, CAYm2 is current academic year minus 2 year</p> <p>(The course wise details separately for main campus and off-campus / affiliating colleges to be provided as per Annexure: 20 (Pages__ to __))</p>	Number of admitted students	CAYm2	CAYm1	CAY	UG courses				PG courses				Ph.d				Other courses				Total			
Number of admitted students	CAYm2	CAYm1	CAY																						
UG courses																									
PG courses																									
Ph.d																									
Other courses																									
Total																									
e.	<p>Details of foreign Students enrolled during the last three years:</p> <table border="1" data-bbox="284 1252 1382 1619"> <thead> <tr> <th data-bbox="284 1252 557 1357">Number of admitted students</th> <th data-bbox="560 1252 831 1357">CAYm2</th> <th data-bbox="834 1252 1106 1357">CAYm1</th> <th data-bbox="1109 1252 1382 1357">CAY</th> </tr> </thead> <tbody> <tr> <td data-bbox="284 1361 557 1408">UG courses</td> <td data-bbox="560 1361 831 1408"></td> <td data-bbox="834 1361 1106 1408"></td> <td data-bbox="1109 1361 1382 1408"></td> </tr> <tr> <td data-bbox="284 1413 557 1460">PG courses</td> <td data-bbox="560 1413 831 1460"></td> <td data-bbox="834 1413 1106 1460"></td> <td data-bbox="1109 1413 1382 1460"></td> </tr> <tr> <td data-bbox="284 1464 557 1512">Ph.d</td> <td data-bbox="560 1464 831 1512"></td> <td data-bbox="834 1464 1106 1512"></td> <td data-bbox="1109 1464 1382 1512"></td> </tr> <tr> <td data-bbox="284 1516 557 1563">Other courses</td> <td data-bbox="560 1516 831 1563"></td> <td data-bbox="834 1516 1106 1563"></td> <td data-bbox="1109 1516 1382 1563"></td> </tr> <tr> <td data-bbox="284 1568 557 1615">Total</td> <td data-bbox="560 1568 831 1615"></td> <td data-bbox="834 1568 1106 1615"></td> <td data-bbox="1109 1568 1382 1615"></td> </tr> </tbody> </table> <p>CAY – Current Academic Year, CAYm1 is current academic year minus 1 year, CAYm2 is current academic year minus 2 year</p> <p>(The course wise details separately for main campus and off-campus / affiliating colleges to be provided as per Annexure: 21 (Pages__ to __))</p>	Number of admitted students	CAYm2	CAYm1	CAY	UG courses				PG courses				Ph.d				Other courses				Total			
Number of admitted students	CAYm2	CAYm1	CAY																						
UG courses																									
PG courses																									
Ph.d																									
Other courses																									
Total																									

f.	Total number of the existing faculty as against approved positions (regular): Main Campus: Off-Campus:- Constituent Institutions:- Constituent Units:- (Department-wise details of the faculties (regular, ad-hoc, part-time, guest, visiting, contractual faculty, etc) to be provided at Annexure: 22 (Pages__ to __)) (Note: One faculty to be placed in one category only)
g.	Number of the foreign faculty (regular, adjunct, ad-hoc, part-time, guest, visiting, contractual faculty, etc): Main Campus: Off-Campus:- Constituent Institutions:- Constituent Units:- (Department-wise details of the foreign faculties (regular, ad-hoc, part-time, guest, visiting, contractual faculty, etc) to be provided at Annexure: 23 (Pages__ to __)) (Note: One faculty to be placed in one category only)
h.	Department-wise details of the faculties like names, designations, qualifications, Pay Scale and experience to be provided at Annexure: 23 (Pages__ to __)
i.	Existing faculty-students ratio: _____. (The faculty means regular faculty, adjunct faculty, and long term faculty (for at least three years). Part time faculty shall not be counted for the purpose)
j.	Existing students admission policy for domestic students: (Details at Annexure: 24 (Pages__ to __))
k.	Existing students admission policy for foreign students, if any: (Details at Annexure: 25 (Pages__ to __))
l.	Existing faculty recruitment policy: (Details at Annexure: 26 (Pages__ to __))
m.	Existing reservations policy for students & faculty: (Details at Annexure: 27 (Pages__ to __))
n.	Existing policy on providing scholarship to meritorious / needy students: (Details at Annexure: 28 (Pages__ to __))

III. Books & Journals and Equipment:

a.	Institution-wise and discipline / faculty-wise No. of Books and Journals (List of Books and Journals to be provided at Annexure 29 (Pages __ to __))
b.	Institution-wise and department-wise list of equipment (more than Rs. 25 lakh) (Details at Annexure 30 (Pages __ to __))
c.	Details of the modern information resources (Broadband connectivity, internet connections, wi-fi enabled campus and other learning materials) (Details at Annexure 31 (Pages __ to __))

IV. Academic Activities:

a.	Academic achievements by the faculty for the last five years: i. No. of Books and Edited Books:- ii. No. of Book Chapters:- iii. No. of Articles in Referred Journals (SCOPUS):- iv. No. of Peer-reviewed Monographs:- v. No. of Referred Papers and Presentations:- vi. Other publications (occasional papers, monographs, working papers, policy briefs, etc:- (Details to be provided at Annexure 32 (Pages __ to __))
b.	No. of Honours and Awards in the last five years (Details to be provided at Annexure 33 (Pages __ to __))
c.	Research Grants and Fellowships received during the last five years (Details to be provided at Annexure 34 (Pages __ to __))
d.	i. Professional Experience / Activities ii. Contribution to Professional and / or Public Service iii. Dissertation supervised by Regular faculty (Details of the last five years to be provided at Annexure 35 (Pages __ to __))
e.	Research Projects / sponsored research undertaken during the last 5 years including those completed during the period (Name of the Faculty / Department, No. of Research Projects completed / in progress, sponsoring agency, funds received, etc. to be provided at Annexure 36 (Pages ____ to ____))
f.	Extramural research projects sponsored by other agencies (public and private) and implemented by the Institute (s) during last five years: (Details including names of the Principal Investigator, sponsoring agencies and funds received at Annexure: 37 (Pages __ to __))

g.	No. of Patents in last five years: Copyrights in last five years: Transfer of Technology in last five years: (Details at Annexure 38 (Pages __ to __))
h.	National / International Conferences / Seminar / Symposia /Workshop organized in the last five years: Numbers (Year Wise): (Details at Annexure 39 (Pages __ to __))
i.	Other research oriented activities in the last five years
j.	Details of the inter-disciplinary orientation
k.	No. of Research linkages of the Institution (s) with the University and other national and international agencies: (Details at Annexure 40 (Pages __ to __))
l.	Details of the full time Doctoral/Post-Doctoral research programmes: (Details at Annexure 41 (Pages __ to __))

V. Programmes and Activities:

a.	Whether academic programmes offer sufficient scope for interdisciplinary learning and research? If yes, the details thereof. (Details at Annexure 42 (Pages __ to __))
b.	Examination process: (Details at Annexure 43 (Pages __ to __))
c.	Evaluation system: (Details at Annexure 44 (Pages __ to __))

VI. Miscellaneous:

a	Details of periodic reviews and assessments of the Institution/University by recognized external accrediting/assessment agencies, if any (Documentary evidence at Annexure 45 (Pages __ to __))
b.	Details of extension services/activities, societal engagements, continuing education programmes, sports and games, cultural activities etc. undertaken by the Institution/ University (Details at Annexure 46 (Pages __ to __))

Certificate

This is to certify that all the information provided in this proposal is true to the best of my knowledge and belief.

Signed and Sealed by the Head of the Institution

Note:-

- 1. Serial Numbers of Annexures should not be changed. If any additional Annexure is to be submitted, it may be mentioned at Annexure -1(A), 1(B)....., 2(A), 2(B).....etc.**
- 2. If Institutions so desires to submit additional information, they may do so at appropriate places along with the Annexures, if any.**

**UGC (Declaration of Government Educational Institutions as Institutions of Eminence)
Guidelines, 2017**

(for providing regulatory structure for enabling higher educational institutions to become world class teaching & research institutions)

1. Introduction:

There is a felt need to create a distinct category of deemed to be Universities, called Institutions of Eminence Deemed to be Universities, which shall be regulated differently from other deemed to be universities so as to evolve into institutions of world class in a reasonable time period. This is in order to implement the commitment of the government announced by the Hon'ble Finance Minister in his budget speech in 2016. As per the Budget announcement:

'It is our commitment to empower Higher Educational Institutions to help them become world class teaching and research institutions. An enabling regulatory architecture will be provided to ten public and ten private institutions to emerge as world-class Teaching and Research Institutions. This will enhance affordable access to high quality education for ordinary Indians. A detailed scheme will be formulated.'

2. Objective:

2.1 To provide an enabling regulatory architecture to ten public and ten private Higher Educational Institutions to emerge as world-class Teaching and Research Institutions.

3. Application:

3.1 The present guidelines shall apply to Government Institutions viz. Central Universities, Government owned and controlled Deemed to be Universities, Institutions of National Importance such as Indian Institutes of Technology, Indian Institutes of Information Technology, National Institutes of Technology and Indian Institutes of Science Education and Research, Government owned standalone Institutions working under individual MoAs, such as Indian Institutes of Management and State Universities set up under a law made by Legislative Assembly of a State (Collectively referred as Government Institutions of Eminence).

4. Expectations from a Government Institution of Eminence:

4.1 While a Government Institution declared as an Institution of Eminence, is free to choose its path to become an institution of global repute, an indicative list of parameters, the institution should satisfy within a reasonable pre-determined time frame from the date of notification declaring it as a Institution of Eminence are as follows:

- i) It should preferably be multi-disciplinary and have both teaching and research focus of an exceptionally high quality.
- ii) Apart from the regular courses, it should also offer various inter-disciplinary courses, including in areas of emerging technology and interest as well as those of relevance to the development concerns of countries like India.
- iii) It should have a good proportion of foreign or foreign qualified faculty. Institutions which succeed in mobilizing a broadly diverse national and international academic staff are likely to maximise knowledge capacity. Foreign / Foreign qualified faculty means:
 - a. Any faculty of non Indian citizenship. or

- b. Any Indian citizen who has spent considerable time in academics in a foreign country, with his academic qualification /experience from top 500 Institutions figuring in a reputed world ranking.
- iv) There should be a reasonably good mix of domestic and foreign students.
 - v) There should be a transparent merit based selection in admissions, so that the focus remains on getting meritorious students.
 - vi) The admission process should be need-blind so that once a student gets admission purely on merit, such a meritorious student should not be turned away for lack of financial ability.
 - vii) The faculty student ratio should be not be less than 1:20 at the time of notification issued declaring an Institution as an Institution of Eminence and should increase over time so as not to be less than 1:10 after five years of this date. The faculty for this purpose includes the regular faculty, adjunct faculty, and long term faculty (for at least three years). Part time faculty shall not be counted for the purpose.
 - viii) There should be laboratory facilities that do cutting-edge scientific research for those Institutions engaged in scientific research. In case of humanities and social science and other interdisciplinary areas, the faculty should be engaged in research and field work in frontier areas using the latest methodologies.
 - ix) The Institution should have a world-class library with subscriptions to reputed journals in the areas of its course offerings.
 - x) It should have student amenities comparable with that of globally reputed institutions.
 - xi) The Institution should have reasonably large owned campus with adequate space for expansion.
 - xii) The Institution should strive to achieve social impact by engaging in applied research and innovation in issues of concern to developing societies.
 - xiii) Governance structure of the Institution should be distinct from the governance structure of the organization sponsoring/funding the institution.
 - xiv) It should be financially sound, either in terms of recourse to public funding.
 - xv) The Institution should have teaching and research collaborations with a reasonable number of global universities figuring in the most reputed global rankings.
 - xvi) The Institution should be known for promoting a culture where faculty are encouraged to publish regularly in peer-reviewed journals and engage academically with the issues of concern to the society. It should have a record of research publications at the mean rate of at least one per faculty member each year in reputed peer-reviewed international journals based on publication made by top 100 global Universities in these journals. For this purpose:
 - a. Any papers published in international publications which are included in SCOPUS, Web Science or similar international agencies can be counted.
 - b. Instead of every faculty satisfying the publication criteria, it would be enough if the parameter is satisfied as an average across institution.
 - xvii) A multi-disciplinary institution should have a student enrolment of at least ten thousand students in a period of fifteen years. However, the institutions may project a lower figure as its enrolment target with justification on how that enrolment figure would enable it to become a world class university. EEC will examine whether it is feasible for an institution to become world class with the proposed lower figure.

- xviii) The Institution should be accredited by National Assessment and Accreditation Council (NAAC) or an alternative version of NAAC which conforms to UGC (Institutions of Eminence Deemed to be Universities) Regulations, 2017 or its amendments and also be assessed by one reputed international accreditation agency, one whose ratings are a credible and widely accepted global benchmark. The Institution may however appeal on accreditation of NAAC or alternative version of NAAC to the Empowered Experts Committee, whose decision shall be final.
- xix) It should come in top five hundred of any of the world renowned ranking frameworks (such as the Times Higher Education World University Rankings or QS or Shanghai's Jiao Tong University) in the first ten years of setting up on being declared as Institution of Eminence, and having achieved top five hundred rank, should consistently improve its ranking to come in the top one hundred eventually over time.

4.2 The Institution giving an application to be declared as a Institution of Eminence, should in its proposal mention the detailed and tangible action plan, milestones, and timelines by which it seeks to achieve each of parameters in Guideline 4.1 above, mentioning milestones to be achieved in first five years and over 15 years.

5. New Regulatory Structure for setting up Institutions of Eminence:

- 5.1 As far as Government owned institutions are concerned, they will continue to function as per the existing regulatory provisions under which they have been created.
- 5.2 Thus, the Institutes of National Importance, created under Acts of Parliament, would continue to function under their existing Acts. However, within the ambit of the Act, they would be given additional flexibility as listed out in Guideline 6.1 hereinafter.
- 5.3 Similarly, State Public Universities set up under State Acts would continue to function under their respective Acts.
- 5.4 As far as deemed universities controlled by Government are concerned, they would also be given additional incentives given in these Guidelines, and the same would be provided for in the UGC Regulations to be framed for Institutions of Eminence Deemed to be Universities.
- 5.5 Institutions which are also regulated by their Professional Regulatory Councils would continue to be regulated by the councils and no relaxation is proposed on rules and regulations laid down by these regulatory bodies.
- 5.6 Empowered Expert Committee referred in these Guidelines means the committee UGC (Institutions of Eminence Deemed to be University) Regulations, 2017.

6 Guidelines for Government owned Institutions:

6.1 Additional Incentives Proposed:

The Government owned Institutions including Government controlled Deemed to be Universities, which are declared Institutions of Eminence, would be allowed for the following, subject to the same being compliant to the rules and regulations of their Professional Regulatory Councils:

- a. The Institutions of Eminence would be free to admit additionally foreign students on merit subject to a maximum of thirty percent of the strength of admitted domestic students.

- b. The Institutions of Eminence would be free to fix and charge fees from foreign students without restriction.
- c. The Institutions of Eminence would have the freedom to determine the domestic student fees, subject to the condition that no student who gains admission should be turned away for lack of finance. This should be accompanied with a credible programme of scholarships and loans to ensure that the needy are able to pursue higher studies without any financial problems.
- d. The Institutions would have the freedom to offer courses within a program as well as to offer degrees in newer areas, including inter-disciplinary ones, after approval of its Governing Council and broadly conforming to the minimum prevailing standards which shall be intimated to the Commission or Professional Regulatory Councils.
- e. The Institutions of Eminence will have the flexibility of course structure in terms of number of credit hours and years to take a degree, after approval of their Governing Council and broadly conforming to the minimum prevailing standards.
- f. The Institutions of Eminence shall have complete flexibility in fixing of curriculum and syllabus, with no UGC mandated curriculum structure.
- g. The Institutions of Eminence will have freedom to offer online courses as part of their programmes with the restriction that not more than twenty percent of the programme should be in online mode. However, this twenty percent restriction would not preclude the Institutions from offering certificate courses entirely through online mode.
- h. Institutions would have the freedom to recruit faculty from outside India subject to the limit of twenty five percent of its faculty strength.
- i. The Institutions of Eminence will be permitted to hire personnel from industry, etc, as faculty who, though being experts in their areas, may not have the requisite higher academic qualifications.
- j. Academic collaborations with foreign higher educational institutions *ranked in top 500 in global rankings* would be exempt from government approvals, except with institutions from negative list of countries determined by Ministry of External Affairs/Ministry of Home Affairs.
- k. The Institutions of Eminence shall be free to enter into academic collaborations with other Institutions within the country.
- l. The Institution of Eminence will have complete financial autonomy to spend the resources raised and allocated, subject to general restrictions of the Statutes and GFR.
- m. The institution of eminence shall follow the pay scales of that category of public institutions to which they belong. However, they may build in an incentive structure to attract talented faculty, with the condition that the incentive structure would have to be paid from their own revenue sources and not from government funds.
- n. The institutions will have the freedom to hire foreign faculty/foreign qualified faculty on "tenure/ contract" basis as per the terms & conditions, approved by its governing council.

6.2 Financial Assistance:

6.2.1 Financial Assistance would be provided to Government Institutions which have been declared Institutions of Eminence.

6.2.2 The assistance would be up to an amount of Rupees One thousand Crore or 50 to 75% of the requirement projected in the perspective and detailed plans submitted by the institution, whichever is less, to each Institution in a span of five years starting from the financial year of declaration of Institute as Institution of Eminence. The exact amount to be given and the exact percentage in the 50 to 75% band would depend on the perspective and detailed plan proposal of the institution and assessed and accepted by the Empowered Expert Committee.

6.2.3 The annual release would be dependent on the institution achieving the financial and physical outcomes laid down in its implementation plan.

6.2.4 However, if any Institution shows capacity to absorb the funds earlier and is able to give the expected outcomes, an accelerated pace of funding would also be allowed.

6.2.5 The funding under these guidelines would be in addition to the existing funding to which they are entitled to.

6.2.6 The funds provided under these guidelines shall not be used for purchase of any land or taking land on lease.

6.2.7 Apart from this, the Institution would have the full freedom to mobilize resources from the industry or alumni or other donors and utilize it in accordance with its implementation plan without having to seek any permission from any authority.

6.3 Eligibility criteria for Government owned and controlled Institutions to become Institution of Eminence:

6.3.1 Total of ten Institutions under this category shall be selected to be declared as Institutions of Eminence.

6.3.2 These ten Institutions would be a mix of technical institutions, management and arts institutions and central universities.

6.3.3 These Institutions should figure in the top fifty of the ranking in their category under the latest National Institution Ranking Framework as available on the last date of submission of application or in the immediate preceding ranking of NIRF.

6.3.4 Institutions finding place in top five hundred of some of the internationally recognized ranking frameworks such as the *Times* Higher Education World University *Rankings* or QS or SJTU (Shanghai's Jiao Tong University) would also be eligible for inclusion in the programme.

6.4 Procedure of Selection of Government owned and controlled Institutions to become Institutions of Eminence:

6.4.1 Inviting Application:

6.4.1.1 Commission will call for applications, to be submitted to Ministry of Human Resource Development (MHRD), from existing Government owned and controlled institutions, including Government owned and controlled Deemed to be Universities, desirous of being declared as a Institution of Eminence.

6.4.1.2 The advertisement would be issued both in print media and also would be put on the website of University Grants Commission (UGC) and Ministry of Human Resource Development. A minimum time of ninety days will be given for submitting applications from the date of the advertisement.

6.4.2 Application:

6.4.2.1 Applications in specified format shall be submitted to the Government along with processing fee of Rupees one crore by way of Demand Draft drawn in favour of The Secretary, University Grants Commission, Bahadurshah Zafar Marg, New Delhi. However, Rs seventy five lakh shall be returned to applicants who are not selected as Institutions of Eminence.

6.4.2.1 The Institutions controlled by Central Government, the application shall be made by the Institution through their controlling Ministries / Departments.

6.4.2.2 In case of State Public Universities, the application shall be made by the Institution through the State Government.

6.4.2.3 The application will have two parts: a fifteen year strategic vision plan as well as a five year implementation plan. The former will be the long-term (fifteen years) strategic plan to meet the objectives and characteristics of an Institution of Eminence and will also contain the quantified milestones and timelines for the Institution to achieve world-class repute as laid down in Guideline 4 above. It should also include a SWOT analysis of the institution focusing on their present status in the quality hierarchy, and the proposed measures to address the shortcomings. The implementation plan should contain detailed and tangible annual action plans to achieve the objectives laid out in the strategic plan.

6.4.3 Accompanying documents:

Each application should be accompanied by the following:

- (a) Details of the organization and its structure.
- (b) Financial strength of the institution, in terms of existing and expected revenue sources, existing and anticipated expenditure, and details of existing and expected corpus funds.
- (c) Details of land available for the institution.
- (d) Details of governance structure, including apex Board.
- (e) Details of a five year implementation plan to establish the foundations of an Institution of Eminence and consisting of the following:
 - (i) An academic plan showing the courses proposed and their schedule of offering.
 - (ii) A faculty recruitment policy and plan to meet the academic plan requirements. The faculty plan should strive to maintain the faculty-student ratio of at least 1:10. A share of the faculty should have either studied or worked in the top-tier global universities. This should also contain information about any proposal to draw faculty resources from industry, government, non-profits etc.
 - (iii) A student admissions policy and plan to select Indian and foreign students and expand the enrolment of students as mentioned in guideline 4.1.xvii. The admissions policy should also contain the details of the scholarships that will be provided to ensure that meritorious selected students without adequate financial capability are not turned away.
 - (iv) A research plan indicating the research laboratories and other facilities proposed to be established. In case of humanities, social science and other interdisciplinary faculties, the research plan should indicate the broad areas and nature of field work and research sought to be done.

- (v) A networking plan outlining the teaching and research collaborations and partnerships with private sector and foreign universities, research institutions, think-tanks, labs etc. that are proposed to be put in place.
 - (vi) An infrastructure development plan that would meet the proposed academic and admissions plans as well as all student and other amenities.
 - (vii) A financing plan, with details of the sources and estimated amounts, to meet the aforementioned plans as well as modalities for their transfer to the institution.
 - (viii) An administrative plan for getting accreditation from national and international agencies, as well as marketing and promotion.
 - (ix) A governance plan that indicates the institutional structure and how it overlaps with ownership, decision-making processes, and social engagement. This governance plan should be committed to the highest global standards of transparency, accountability and efficiency.
 - (x) All these plans should signal the clear commitment of the promoters to achieve the objectives and characteristics laid down in Guideline 4 of these Guidelines and should have actionable items with clear and quantified milestones and timelines.
 - (xi) The objective should be that the Institution should have stabilized and established enough credibility within the five years to be on a path to become a university of global repute in the first fifteen years.
- (f) The five year implementation plan would be recast for each five year cycle, so as to achieve the objectives outlined in the 15 year strategic plan.
 - (g) Plan for involving the alumni in the management of the institution and leveraging alumni financial resources.
 - (h) A sustainability plan for the period when the additional public funding ceases.
 - (i) The institute, if it has the provisions to affiliate in the establishing act, will provide its plan for affiliating any other higher educational institutions in its 15 year perspective plan. Any deviation in the submitted plan will be subject to EEC approval. The EEC may lay down minimum standards for any such affiliation after it has been declared as Institution of Eminence.
 - (j) Any other information or document which the advertisement/ application format specifies.

6.4.4 Selection of Institutions:

The applications shall be processed in the following manner:

- (a) After receipt, the applications that have been submitted in accordance with the format prescribed and within the due date shall be processed and forwarded by the Ministry of Human Resource Development to the Commission which shall entrust it to Empowered Expert Committee.

(b) The Empowered Expert Committee shall conduct its appraisal based on the document submitted by the applicant as well as any other measure of demonstrated commitment to the cause of developing an Institution of Eminence. This appraisal will be a table one, involving scrutiny of papers submitted, along with multiple interactions with the representatives of the sponsoring organisation and may also involve any field visit. The Empowered Experts Committee would engage with the Institutions to study their proposals, hear presentations from them and after a thorough scrutiny, rank the Institutions for their suitability for inclusion in this scheme, based on which the Empowered Experts Committee would make its recommendations.

(c) The recommendations of the Empowered Expert Committee shall be placed before the Commission. The Commission will send the recommendation within fifteen days of the receipt of the recommendations from EEC.

(d) Based on the recommendations of the Commission and Empowered Experts Committee, the Ministry of Human Resource Development, shall issue orders on selection of Institutions under this scheme.

6.4.5 Notification:

After issuance of the Government order, the strategic and implementation plans for each selected Institution of Eminence would be converted into a MoU that would guide and govern the development of the Institution. The notification for Institution of Eminence shall be made immediately after signing the MoU by the selected Institution with the Government.

6.5 Monitoring and Review:

6.5.1 The Institutions of Eminence shall be reviewed once in three years after their notification as such by the Ministry of Human Resource Development by the Empowered Expert Committee for adherence to their implementation plans, keeping in view their fifteen year strategic plans. The monitoring and review shall continue till the Institution gets into top hundred in a world ranking of repute for two consecutive years or till fifteen years, whichever is earlier.

6.5.2 However, the Institution shall inform the Empowered Expert Committee every year on the progress made in realising the goals laid out in the implementation and strategic plans in a manner so prescribed by the Committee.

6.5.3 The Empowered Experts Committee may also evolve such other monitoring and review systems with shorter periodicity especially in the initial years, with the objective of guiding and supervising the growth of the Institution.

6.5.4 Apart from this, the Empowered Expert Committee may require the Institutions of Eminence to annually self-report and publicly display certain information relating to compliance with their implementation and strategic plans.

6.5.5 The annual review exercise should be completed by June each year.

6.5.6 The Empowered Expert Committee may suggest remedial action to address deficiencies, if any. The Institution shall take steps to address them within a reasonable time period.

6.6 Accreditation and Ranking:

6.6.1 The Institution of Eminence, within five years of the notification shall have to get accreditation in terms of Guideline 4.1 (xviii) above.

6.6.2 The Institution of Eminence shall continue to be ranked in the National Institutional Ranking Framework and, within five years of notification, shall get itself ranked in an International Ranking index of repute. It should from then on continue to be ranked in the National and International ranking frameworks.

6.7 Penalties:

6.7.1 If the Institution is unable to meet the goals at the end of fifth and subsequent years, and there are grave enough deviations, as determined by the Empowered Expert Committee, from the implementation plan, the Empowered Expert Committee may recommend to the Ministry of Human Resource Development for taking action as given below.

6.7.2 The Ministry of Human Resource Development, on recommendation of the Empowered Expert Committee, may take any one or more of the actions given below:

- a) Removal of the Institution of Eminence status and reverting it back to the original status which it was before getting the Institution of Eminence Status.
- b) Withdrawing of any or all additional incentives given to it under paragraph 6.1.
- c) Any other penal provision available in the Statute under which the Institution was set up.

7. Secretarial Support:

UGC will provide all secretarial assistance to the programme, including to the Empowered Expert Committee including honorarium to be paid to the members. The expenditure on account of accessing any expert either domestic or international by Empowered Expert Committee shall also be borne by UGC. There shall be no provision for creation of posts.

8. Power to remove Difficulties:

In case there is any difficulty encountered in the course of implementation of any of the provisions, the Central Government, with intention to remove the difficulty, may give suitable clarifications, not amounting to change in the guidelines itself.
